

Checklist for DFY guarantee Premium Services Qualification

Projects or portfolios must fit [CAP funding basic criteria](#), but also meet higher standards than if the developer/owner brought their own guarantee. Most everyone underestimates what this requires, but the checklist below offers a running start.

1. **Type:** Project finance to build/expand/renovate, not “working” or venture capital, not for purely M&A, trade finance, etc.
2. **Size:** Total funding above \$50M (\$1000M+ preferred); upper limit into the USD billions.
3. **Location:** Any country without US sanctions
4. **Stage:** Substantial “skin in the game” though does not need to be fully shovel-ready.
5. **Uses of Funds:** Whatever the stage, funds are used mainly for tangible, “big ticket” items, over a series of *monthly* draws, not a lump-sum transfer.
6. **Sector*** – The client project must align with In3’s industry expertise and offer strong impacts. Current focus includes Waste-to-Value (resource recovery such as from end-of-life tires), most Renewable Energy (solar, wind, small hydro, green hydrogen), sustainable and “climate smart” Food Systems, Regenerative Real Estate/building materials, healthcare and housing.
7. **Capital Stack:** Willingness to offer an equity carried interest (sometimes to the guarantor as a sweetener), with the rest as debt, with best effort to preserve owner equity.
8. **Developer profile:** the team must have deep knowledge of their sector/market, many years direct experience, and outstanding presentation skills. Sorry, no first-timers.
9. **Initial cost:** \$50,000 fee, typically paid as a deposit to audit and optimize presentation of the opportunity to arm’s length guarantors and/or for funding at prevailing rates.

Project developers must provide evidence of a project’s pre-qualification (minus the guarantee) per strict underwriting standards, including close to zero commercial risk. Once accepted, In3 and client enter a premium services contract to deliver an investible package that meets or exceeds these standards, then In3 arranges a suitable financial guarantee from best-available sources, either via an established provider, dedicated Impact Guarantee Fund™, or bespoke to the client’s project for an additional fee.

In most cases, developer credibility is critically important, established through interviews and due diligence responses, plus other factors such as potential for comprehensive insurance wraps.

* We give preferential treatment to projects and pipelines with sufficient scale to contribute meaningfully to UN’s Sustainable Development Goals (SDGs).